

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Charles E. Hamilton, et al.  
 Appln. No.: New Application  
 Filing Date: Herewith  
 Title: SPECTRAL BEAM COMBINATION F BROAD-STRIPE LASER DIODES

UTILITY PATENT APPLICATION & FEE TRANSMITTAL  
 (for nonprovisional applications under 37 CFR § 1.53(b))

## CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10

I, hereby certify that this paper and all enclosures are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on **October 16, 2003**, Express Mail Label No. **EV 148299860 US** and is addressed to the Attn: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Pam Golden  
 Signature of Person Mailing: Pam Golden

Commissioner for Patents  
**MAIL STOP PATENT APPLICATION**  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

<b>I. Elements of the Application</b>	
<input checked="" type="checkbox"/> Application	[ 1 ] page(s) of Cover Sheet [ 19 ] page(s) of Specification [ 5 ] page(s) of Claims (35 claims) [ 1 ] page(s) of Abstract [ 6 ] sheets of Drawings [ ] Formal [ X ] Informal
<input checked="" type="checkbox"/> Declaration [ ] page(s) [ X ] Unexecuted [ ] Executed [ ] Copy from prior application (37CFR § 1.63(d))	
<input type="checkbox"/> Deletion of Inventors: [ ] page(s) of signed statement deleting inventor(s) (37CFR § 1.63(d) & 1.33(b))	
<input type="checkbox"/> Request and Certification Under 35U.S.C. 122(b)(2)(B)(i)	
<input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission: [ ] page(s) of Sequence Listing (paper copy) [ ] disk(s) containing Sequence Listing (computer readable copy) [ ] page(s) of Statement Under 37 CFR 1.821(f)	
<input type="checkbox"/> Microfiche Computer Program Appendix _____	
<b>II. Claim for U.S. Priority</b>	
<input type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-Part of Prior Application No. _____ filed _____, (35 USC §120)	
<input checked="" type="checkbox"/> This application claims the benefit under 35 U.S.C. §119(e) of United States Application Serial No. <u>60/490,376</u> filed <u>July 25, 2003</u> .	
<input type="checkbox"/> The entire disclosure of the prior application referenced above, is considered as being part of the application filed herewith and is herein incorporated by reference in its entirety.	
<b>III. Claim for Foreign Priority</b>	
<input type="checkbox"/> This application claims the benefit under 35 USC §119 of Prior Application No. _____ filed _____.	
<input type="checkbox"/> Certified Copy of Priority Document [ ] page(s)	
<input type="checkbox"/> English Translation of Priority Document [ ] page(s)	

**IV. Accompanying Documents**

- ☐ Assignment Papers [ ] page(s) of Recordation Cover Sheet [ ] page(s) of Assignment
- ☒ Applicant(s) claims Small Entity Under 37 CFR § 1.27.
- ☒ Information Disclosure Statement [ 1 ] page(s) of PTO-1449 [ 12 ] copies of IDS References
- ☐ A Preliminary Amendment [ ] page(s)
- ☐ A copy of a Petition for Extension of Time ( \_ mos.) filed simultaneously in Prior Application No. \_\_\_\_\_
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. \_\_\_\_\_
- ☐ Other \_\_\_\_\_ (specify)
- ☒ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)

**V. Fee Calculation**

<input type="checkbox"/> The following fees are submitted:				<b>CALCULATIONS</b>	
		<b>OTHER THAN SMALL ENTITY</b>	<b>SMALL ENTITY</b>		
<b>BASIC NATIONAL FILING FEE</b>		\$ 770.00	\$ 385.00	<b>\$385.00</b>	
<b>EXTRA CLAIMS FEE</b>					
<b>CLAIMS</b>	<b># FILED</b>	<b># EXTRA</b>	<b>RATE</b>	<b>RATE</b>	
Total Claims	35- 20 =	15	× \$18.00	× \$ 9.00	<b>\$135.00</b>
Independent claims	3- 3 =	0	× \$86.00	× \$43.00	<b>\$0.00</b>
<b>MULTIPLE DEPENDENT CLAIM(S)</b>					
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		\$290.00	\$145.00	<b>\$0.00</b>	
Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31).					
(Numbers of Applications) × \$40.00				<b>\$0.00</b>	
<b>OTHER FEES</b> _____ (specify)				<b>\$0.00</b>	
<b>TOTAL FEES =</b>				<b>\$520.00</b>	

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No. 50-2518, Docket No. 2022927-7035362001, in the amount of \$520.00 to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2518, Docket No. 2022927-7035362001  
*A duplicate copy of this sheet is enclosed*

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SIGNATURE

DATE

David G. Beck  
NAME

37,776  
REGISTRATION NUMBER